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Synthesis and Evaluation of Novel Biocompatible Super-paramagnetic Iron Oxide Nanoparticles as Magnetic Anticancer Drug Carrier and Fluorescence Active Label

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We report a one-pot synthesis protocol for highly efficient and stable covalent binding of both the fluorescent drug doxorubicin (DOX) and the biocompatible polymer poly(ethylene glycol) (PEG) to the surface of superparamagnetic iron oxide nanoparticles (SPIONs). The final aim is to obtain a biocompatible, injectable nanosystem combining anticancer activity (magnetically targeted drug delivery) and nondestructive imaging of the treated cancer cells and tissues by means of fluorescence and magnetic resonance imaging (MRI). Our protocol employs silane and epoxide chemistry, which could also be useful to bind other molecules possessing a primary or secondary amine group, such as drugs, proteins, and fluorescent labels. The suspensions of SPIONs-DOX-PEG (iron concentration of 17 mg/L) obtained in this study are stable at physiological pH values. This stability coupled with the PEG surface neutrality makes these nanoparticles compatible with their application *in vivo*, via systemic administration. Efficient binding of DOX to the SPIONs surface via the amine group of the sugar moiety of the drug, *i.e.*, outside of the aromatic pharmacophore–fluorophore, preserves the fluorescence activity of DOX. Confocal fluorescence spectral imaging of treated MCF7 cancer cells indicates that, in spite of the accumulation of SPIONs-DOX-PEG in the cytosol, only a minor fraction of the drug reaches the nucleus in 24 h. As a result, no *in vitro* cytotoxicity against MCF7 cancer cells was detected (the highest iron and drug concentrations were 2.7 mg/L and 8.1 μ M, respectively). Interestingly, SPIONs-DOX particles noncoated with PEG were cytotoxic. We conclude that cellular enzymes can cleave the amine drug–particle linkage, but the PEG shell hinders the cleavage, possibly by sterical repulsion. Therefore, the developed chemistry is useful for stable coating of SPIONs with polymers and fluorescent labels, while an alternative strategy will be needed for more efficient drug release.

Introduction

Super-paramagnetic iron oxide nanoparticles (SPIONs) with a proper surface tuning are developed as promising new systems for clinical applications such as cancer diagnostics (namely, by magnetic resonance imaging, MRI) and cancer treatment (by magnetically targeted drug delivery and/or hyperthermia). For these kinds of applications, multifunctional SPIONs simultaneously modified with biocompatible polymers, anticancer agents, and fluorescence labels are especially interesting, because they combine the possibility of targeted drug delivery and *in vivo* imaging of treated tumors by means of MRI and fluorescence.

Size, shape, charge, and especially surface properties of such particles strongly influence their behavior in biological environments. It is widely admitted that the size of injectable nanoparticles (NPs) should not exceed 100 nm.^{1,2} Unlike larger ferromagnetic iron oxide particles, SPIONs smaller than 20 nm

are super-paramagnetic and no longer show magnetic interactions after removal of the magnetic field. They are thus less prone to aggregate and to induce dangerous thrombosis of blood vessels. Proper modification of the SPION surfaces should (i) provide stability against aggregation (within a reasonable concentration range) at physiological pH and ionic strength, (ii) reduce capture by the body's immune system, and (iii) provide reactive sites suitable for further binding of drugs and biological ligands.

Once coated by a biocompatible polymer, SPIONs are less likely to aggregate and to be captured by the immune system.¹ There have been numerous reports on stabilization of SPIONs at physiological pH by the modification of their surface with biocompatible polymers including poly(amino acid),³ poly(lactic acid),⁴ dextran,⁵ poly(ethylene glycol),^{6,7} poly(vinyl alcohol),⁸ and poly(ethylene glycol fumarate),⁹ etc. Most of these reports include description of either noncovalent or weak covalent surface modification with polymers. For instance, Thunemann *et al.*³ prepared iron oxide NPs coated by electrostatic adsorption of cationic poly(ethylene imine) followed by anionic poly(ethylene oxide)-*block*-poly(glutamic acid). The obtained NPs formed suspensions of excellent stability under physiological conditions for a period of more than 6 months and were

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suggested as potential MRI contrast agents. Mornet et al.⁵ functionalized SPIONs for MRI applications using weak covalent binding of dextran via Schiff base chemistry and then PEGylated the dextran layer. The chemical binding was intended to provide better stability than in the case of physical adsorption of the polymer. Zhang et al.⁶ prepared PEGylated SPIONs condensing methoxy-PEG-silane on the iron oxide surface. The authors showed that the uptake of the PEGylated SPIONs by the macrophage cells is lower than for nonmodified ones. This was explained by the fact that the presence of neutral PEG on the surface helps to resist charge-mediated protein adsorption and thus to avoid the recognition by macrophages. Lee et al.⁸ prepared stable suspensions of SPIONs by precipitation in poly(vinyl alcohol) solution. Very recently, Mahmoudi et al.⁹ reported on the synthesis of poly(ethylene glycol fumarate) coated SPIONs. The obtained material is a promising drug delivery system since it possesses good biocompatibility and, due to the cross-linked nature of the polymer shell, less “burst effect” compared to the systems with a non-cross-linked shell. Our group recently reported on a method PEGylation of SPIONs by covalent binding via an amide bond.¹⁰ In the present paper, we propose epoxide chemistry to bind to the SPIONs surface both PEG-5000 (considered as biocompatible in contrast to the lower molecular weight PEG¹⁰) and the anticancer agent doxorubicin (DOX).

In spite of its severe cardio- and gastrotoxicity, doxorubicin is still used as an effective anticancer drug.¹¹ To diminish or completely remove these side effects, targeted drug delivery systems are under development. Pioneering work of Couvreur et al. reported on decreased DOX side effects after coupling the drug to polymer NPs.¹² There have also been numerous reports on smart polymer- and SPION-based drug delivery systems including reversible, pH-sensitive, or temperature-sensitive DOX-carrier linkages.^{13–22} For instance, Etrich et al.²¹ have developed polymer-DOX conjugates consisting of HPMA copolymers and DOX coupled via acid-sensitive hydrazone linkages. Inspired by the fact that the tumoral environment has a more acidic pH, the authors showed that release of the drug at pH 5 was at least 10 times higher than at pH 7.4. Yuan et al.²² also prepared iron oxide NPs coupled with DOX via hydrazone bonds and subsequently encapsulated them with a thermosensitive smart polymer, chitosan-*g*-poly(*N*-isopropylacrylamide-*co*-*N,N*-dimethylacrylamide). The polymer used in this experiment exhibited a lower critical solution temperature (LCST) at 38 °C. The authors showed that drug release was low at temperatures below the LCST compared with temperatures above the LCST. Unfortunately, most of the reported systems do not completely exclude uncontrolled drug release.

With respect to the objective study of nanoparticle uptake by cells, this work presents several advantages over a conventional approach. Classically, the nanoparticles are labeled with high-output fluorescent markers attached to the external particle surface. Unfortunately, in these systems, the fluorescence intensity is not always representative of the nanoparticle uptake, since the marker may be cleaved off and no distinction is made between free and particle-bound marker. In our study, the first advantage is that the fluorescent label is buried under a permeable polymeric layer. This protects the fluorophore from cleavage, while allowing the diffusion of small molecules (demonstrated with dimethylsulfoxide (DMSO)) or ions. At the same time, the fluorescence label does not perturb the polymer surface, which can thus be adjusted to optimize the nanoparticle uptake/distribution. The second advantage is that the nanoparticles are labeled with the anticancer drug DOX and not with

just any fluorescent marker. The free drug molecule has site-specific cellular interactions within different cellular compartments. We can thus distinguish the distribution of free drug from that of nanoparticles, in particular since we analyze in detail the intracellular drug interactions by fluorescence spectral imaging.

In our work we set out to develop a versatile SPIONs-based system which offers stable and efficient surface binding of both the biocompatible polymer and the anticancer drug. A one-pot synthesis protocol was applied to covalently link both the fluorescent drug DOX and PEG polymer to the SPIONs surface employing silane and epoxide chemistry. The study describes physical, chemical, and biological properties of the particles. Due to the polymer coating, the nanoparticles are shown to have excellent colloidal stability and their neutral surface can be expected to increase their half-life in the bloodstream. The drug's native fluorescence permitted to follow both the cellular uptake of the nanoparticles and drug release—interaction within cellular compartments. At the same time, to evaluate the possible use of these particles as a drug delivery system, we also studied their cytotoxicity on human breast cancer cells.

Experimental Procedures

Nanoparticle Synthesis. Chemicals. All chemicals and reagents were analytical grade and used as received without further purification. Methoxy poly(ethylene glycol) amine (M_w 5000 g/mol) was obtained from Rapp Polymere GmbH (Tübingen, Germany). (3-Glycidioxypropyl)trimethoxysilane, doxorubicin hydrochloride, dimethylformamide (DMF), and potassium bromide were received from Sigma-Aldrich. Acetone, nitric acid (65%), iron(III) nitrate nonahydrate, and ammonium hydroxide were purchased from Carlo Erba Reagenti. Hydrochloric acid (37%), iron(II) chloride tetrahydrate, and iron(III) chloride were obtained from Acros Organics. Ultrapure water was produced using a Barnstead EASYpure RoDi system (Thermo Fisher Scientific). Dialysis membranes (MWCO 8000 Da) were purchased from Interchim (Montluçon, France).

Synthesis of Initial SPIONs. The iron oxide NPs were prepared according to a co-precipitation protocol¹⁰ adapted from the literature.^{23,5} In short, the initial iron oxide NPs precipitated upon addition of ammonium hydroxide to a solution containing iron(II) and iron(III) chlorides. Thereafter, the outer shell of iron oxide NPs was further oxidized to maghemite with iron(III) nitrate at 100 °C. The nanoparticles were peptized with nitric acid, then precipitated, and washed thrice in acetone. Finally, they were re-dispersed in water and stored at pH 2.5 and at 4 °C. The concentration of iron was determined using atomic absorption spectroscopy and was found to be 21.8 g/L. As a control for biological evaluation, a suspension of “bare” SPIONs was prepared in the presence of citrate ions. This treatment ensures their stability at physiological pH.²⁰

SPIONs Surface Modification. A 5 mL aliquot of an aqueous SPIONs suspension was mixed with 20 mL of DMF. To get rid of the water, the suspension was concentrated under vacuum to an iron concentration of 30.27 g/L. The DMF suspension was sonicated for 60 min prior to the synthesis. Thereafter, 0.638 g of methoxy poly(ethylene glycol) amine was dissolved in 15 mL of dry DMF followed by addition of 0.030 g of (3-glycidioxypropyl)trimethoxysilane under a dry nitrogen atmosphere. The system was allowed to react for 4 h under stirring at room temperature. Afterward, 0.5 mL of DOX (3.3×10^{-3} M) in dry DMF was added to the reaction mixture followed a few minutes later by the addition of 80 μ L of SPIONs in DMF. The reaction was allowed to proceed for an additional 48 h.

Thereafter, 9 mL of a mixture of glycerol and DMF (1:2) was added. The system was concentrated under vacuum to remove the DMF and re-dispersed in 17 mL of Milli-Q water. The suspension was dialyzed using a cellulose membrane MWCO 8000 for 2 days. The suspension was adjusted to pH 7 to diminish electrostatic stabilization of nonfunctionalized or partially functionalized SPIONs, which were successfully removed by centrifuging at 2350g for at least 20 min. The final iron concentration was determined by atomic absorption spectroscopy (AAS) and was found to be 17 mg/L. For reference purposes, suspensions of SPIONs derivatized with PEG alone (SPIONs-PEG) or with DOX alone (SPIONs-DOX) were obtained according to a similar synthesis protocol. For better colloidal stability, the SPIONs-DOX nanoparticles were finally peptized in aqueous solution containing free PEG. All obtained suspensions were stored and kept at 4 °C.

Nanoparticle Characterization

Physical Properties. Nanoparticle Morphology by Transmission Electron Microscopy. Transmission electron microscopy (TEM) experiments were made on a Philips CM20 transmission electron microscope operated at 200 kV. The aqueous suspensions of NPs were deposited on carbon-coated Cu grids. Excess water was removed by filter paper and drying under ambient air.

Hydrodynamic Diameter and ζ -Potential Measurements. These parameters were measured by means of dynamic light scattering (DLS) at 25 °C. Hydrodynamic diameter (HD) and ζ -potential measurements were conducted on a Malvern Autosizer 4700 and a Malvern NanoZ instrument, both operating with a laser wavelength of 633 nm and a detection angle of 173°. In a typical experiment 0.5 mL of the NP suspension was added to 4.5 mL of water. Samples were not filtered before measurements.

Magnetization Measurements. Magnetization experiments were carried out on a Quantum Design physical property measurement system magnetometer. The magnetization (M , emu/g) of freeze-dried modified SPIONs was measured as a function of the magnetic field (H , Oe) at 300 K.

Chemical Properties. Iron Content by Atomic Absorption Spectroscopy. AAS measurements were conducted on a SpectraAA 10 Plus atomic absorption spectrometer (Varian). The calibration procedure for the iron absorbance at 248.3 nm was performed as described before,¹⁰ with a series of Fe solutions with concentrations of 2, 5, 7.4, 10, and 12 mg/L (prepared from a 2 g/L Fe standard solution). Prior to determination of the Fe concentration in suspensions of SPIONs, the particles were dissolved in concentrated hydrochloric acid (37%).

Global NP Chemical Composition by FTIR Spectroscopy. Transmission infrared (IR) spectra were recorded using a Fourier transform infrared (FTIR) Spectrometer, model Vector 22 (Bruker). A 5 mL aliquot of dialyzed suspension was freeze-dried for 24 h. A 1 mg amount of freeze-dried sample was mixed with 100 mg of potassium bromide and pressed into a pellet using a mechanical press. The spectra were recorded at room temperature from 500 to 4000 cm^{-1} with a resolution of 4 cm^{-1} .

Elemental Analysis by X-ray Photoelectron Spectrometry. X-ray photoelectron spectra were recorded on dry powder using a Thermo Scientific ESCALAB 250 system fitted with a monochromatic Al K α X-ray source operated at 100 W. The size of the X-ray probe was set at 400 μm , and the samples were cooled with liquid nitrogen. To compensate for surface charging, a low-energy electron gun was used. Wide-scan spectra were acquired for each sample, together with high-

resolution C 1s, N 1s, Si 2p, O 1s, and Fe 2p spectra at pass energies of 50 and 20 eV. The background was subtracted using a Shirley function calculated from a numerical iterative method. The calibration was carried out at the main C 1s peak at 284.6 eV. Finally, the element concentrations were calculated using the peak intensity and Scofield X-ray photoelectron spectrometry (XPS) cross-sections.²⁴

DOX Quantification by UV-vis Spectrophotometry. UV-vis spectra were acquired with an Anthélie advanced spectrophotometer (Secomam). The quantity of DOX released during dialysis was determined from the drug absorbance at 480 nm ($\epsilon = 11500 \text{ cm}^{-1} \text{ mol}^{-1} \text{ L}$).²⁰ Prior to measurement, the drug was concentrated on a SPE column (Discovery DSC-18 100 mg, Supelco) and eluted with 1 mL of an HCl-methanol mixture (0.1 mol/L, 10/90).

Biological Properties. Antiproliferative Effect on MCF-7 Cancer Cells. MCF-7 human breast carcinoma cells (American Type Culture Collection, LGC Promochem) were grown in DMEM medium (Fisher Bioblock Scientific) supplemented with 5% fetal bovine serum (Fisher Bioblock) and 100 UI/mL penicillin G and 100 $\mu\text{g}/\text{mL}$ streptomycin (Sigma-Aldrich) at 37 °C in a humidified 5% CO_2 atmosphere.

For cytotoxicity assays, cells were seeded for 1 day in standard 96-well plates (Cellstar, Greiner Bio-One, Courtaboeuf, France) at 5×10^3 cells per well. Then the culture medium was discarded and the cells were treated for 96 h with 200 μL of medium containing different doxorubicin concentrations (16 nM to 8.1 μM), either as DOX solutions or as suspensions of SPIONs functionalized with DOX alone (SPIONs-DOX) or with both DOX and PEG (SPIONs-DOX-PEG). Control cytotoxicity measurements were also performed in the absence of DOX, for cells incubated with suspensions of citrate-stabilized SPIONs and PEGylated SPIONs and with a PEG solution at the same iron and/or polymer concentration.

Cell viability was determined using a tetrazolium dye (MTT) assay.²⁵ The cells were rinsed thrice with phosphate buffered saline (PBS), pH 7.4, and incubated for 3 h in 100 μL of medium containing 0.5 g/L MTT (Sigma-Aldrich). Then the medium was replaced by 200 μL of DMSO (Sigma-Aldrich) to dissolve the formazan crystals formed by viable cells. Absorbance was measured at 540 nm using a multiwell plate reader (ELx800 BIO-TEK instruments Inc.). The 50% inhibitory concentration (IC50) was determined as the drug concentration that resulted in a 50% reduction in cell viability. All the experiments were performed in triplicate. Data analysis was performed using Origin 5.0 software (Integral Software).

Subcellular Distribution of NPs and Drug Studied by Confocal Fluorescence Spectral Imaging. For the fluorescence imaging study, adherent MCF-7 cells growing in a 24-well plate, on small glass supports (ϕ 8 mm), were incubated with either 1 μM DOX solution (1 h) or with a suspension of SPIONs-DOX-PEG containing the drug at ca. 60 μM (3 h). Just before the fluorescence measurements, the cells were rinsed twice with fresh medium and placed together with their glass support in a microscopy chamber (Harvard Instruments) thermostatted at 37 ± 1 °C, under PBS, pH 7.4, buffer.

Fluorescence spectra were measured with a LabRam laser scanning confocal microspectrometer (Horiba-Jobin-Yvon, Villeneuve d'Ascq, France) equipped with a 300 grooves/mm holographic grating and Peltier-cooled CCD detector. The 488 nm line of an air-cooled argon ion laser (Melles Griot, model 2016) was used to excite the DOX fluorescence (laser power, ca. 10 μW on the samples; acquisition time, 0.3 s per spectrum). Both excitation and spectra collection were performed through

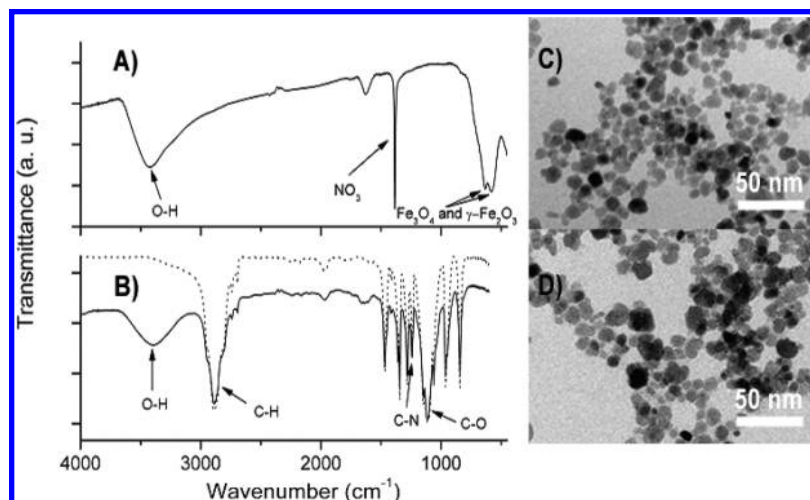


Figure 1. Left: typical FTIR spectra of freeze-dried samples of initial SPIONs (A) and SPIONs-DOX-PEG (B) (the dashed line is a spectrum of initial methoxy poly(ethylene glycol) amine). Right: TEM images of initial SPIONs (C) and SPIONs-DOX-PEG (D).

a 50× LWD (long working distance) objective (Olympus LMPlanFL, NA 0.5) which allows the cells to be studied through the glass cover of the microscopy chamber described above. Equatorial optical sections of selected cells were scanned with a 0.8 μm step that provided maps containing typically 625 spectra (25 × 25 points). Both acquisition and treatment of spectral maps were performed with LabSpec software.

Subcellular drug and particle distribution maps were established via analysis of both the intensity and shape of DOX fluorescence spectra, as described previously.²⁶ Briefly, each experimental spectrum was fitted with a sum of reference fluorescence spectra weighted with appropriate coefficients (fitting scores). These scores were used to generate the respective two-dimensional distribution maps over the cell. The results were averaged over 10 cells for each kind of treatment.

Results and Discussion

Initial SPIONs. The initial suspension of SPIONs was prepared as described previously.¹⁰ The nanoparticles were precipitated from a basic medium containing iron(II) and iron(III) salts. The material obtained is known to consist of two iron oxide phases, namely, magnetite (Fe₃O₄) (particle core) and maghemite (γ-Fe₂O₃) (superficial layers).²⁷ The treatment of nanoparticles with iron nitrate and nitric acid ensures the enrichment of the superficial maghemite layer and prevents further uncontrollable oxidation.

To confirm the chemical composition of the initial material, we performed FTIR analysis. The FTIR spectrum of the freeze-dried initial SPIONs (Figure 1A) confirms the presence of the two iron oxide phases maghemite and magnetite.^{28,29} In agreement with literature, the band at 630 cm⁻¹ is assigned to maghemite, while the band at 590 cm⁻¹ corresponds to both magnetite and maghemite vibrations.²⁹ The strong narrow band at 1380 cm⁻¹ is due to traces of NO₃⁻ anions on the surface of SPIONs. There is also a wide band at 3400 cm⁻¹ attributed to surface OH groups of SPIONs. These data were in agreement with Raman microspectroscopy analysis described previously²⁷ that also indicated that magnetite and maghemite were the two major oxide species, at a relative fraction of ca. 60 and 40%, respectively.

The morphology and size of initial SPIONs were analyzed by means of transmission electron microscopy (Figure 1C) and DLS. The nanoparticles appear to have a uniform roughly spherical morphology, with an average size of ca. 10 nm. This

value and the DLS data for the aqueous suspension of SPIONs (average HD = 73 ± 7 nm) are similar to those reported in the literature.¹⁰

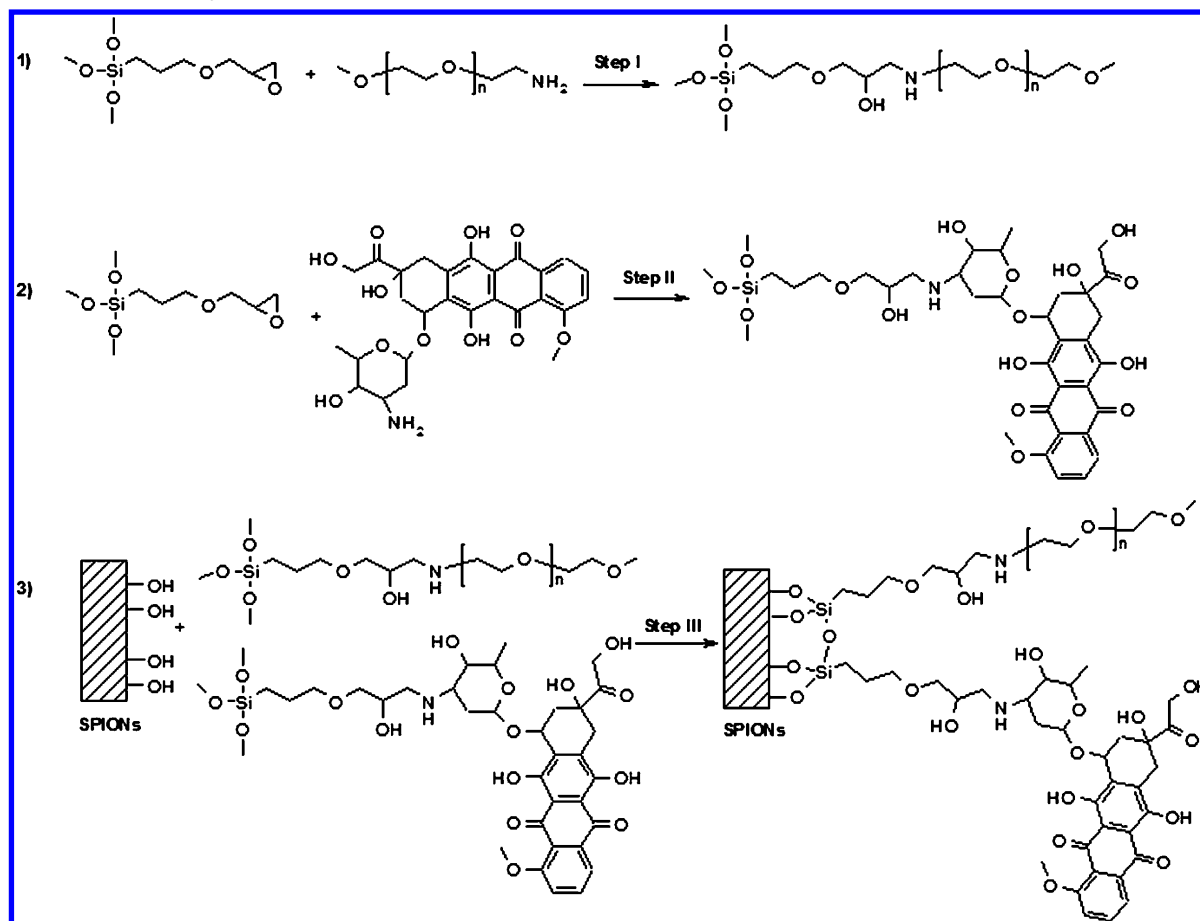
SPIONs Modified with PEG and/or DOX. The surface modification of nanoparticles was performed according to a protocol developed in our group involving the reaction between amine and epoxide functional groups. The use of this type of chemistry offers sufficient reactivity at ambient temperature. In addition, compared to adsorption, the covalent linkage of the drug to the carrier is more stable and will require a specific release mechanism for drug delivery, for instance, enzymatic cleavage.

The synthesis procedure we used to generate SPIONs modified with both DOX and PEG (SPIONs-DOX-PEG) comprises three stages (Scheme 1): (I) reaction between epoxy silane and amine-substituted poly(ethylene glycol), (II) reaction between epoxy silane and doxorubicin, and (III) condensation of the silanized polymer and drug on the surface of SPIONs. To avoid a lengthy separation process and purification of the intermediates, we have combined all synthetic stages into a one-pot synthesis procedure. This method allows easy and efficient preparation of PEG- and DOX-modified SPIONs.

Physical Properties of SPIONs-DOX-PEG. The TEM image of SPIONs-DOX-PEG (Figure 1D) shows more or less spherical shape and nearly the same size as those for initial SPIONs, i.e., an average diameter ~ 10 nm. This can be explained by the fact that the organic surface layer is not visible under the experimental conditions, since it has not sufficient electron density and/or thickness after vacuum drying.

For the SPIONs-DOX-PEG peptized in aqueous medium at pH 7, the average diameter of the hydrodynamic diameter distribution was found to be 96 ± 15 nm. This value is close to those reported in the literature for SPIONs modified with high molecular weight PEG.^{7,10} The higher value of the HD compared with the initial particles should correspond to the presence of the organic layer on the surface of SPIONs. The DLS data indicated that, in contrast to initial SPIONs, modified SPIONs did not show any sign of aggregation at pH 7, indicating PEG-mediated stabilization. The steric nature of this stabilization is supported by the fact that the ζ-potential of these samples was close to zero, i.e., -0.93 ± 0.08 mV, which is concomitant with the neutral surface of PEG. This is in line with an earlier report of our group.¹⁰ Hervé et al. reported a PEGylation procedure of SPIONs using covalent binding via an amide

SCHEME 1: One-Pot Synthesis of PEG- and DOX-Modified SPIONs (SPIONs-DOX-PEG)



linkage. The authors showed that a neutral molecule such as PEG provides sufficient sterical repulsion to stabilize NPs in suspension within a large range of pH (pH 4–10). Furthermore, the fact that the nanoparticle surface is nearly neutral favors the immunological stealthiness required for future biomedical applications in vivo.

The magnetic measurements confirmed that the PEG- and drug-modified samples conserved their superparamagnetic properties. Figure 2 shows the M versus H measurements at room temperature. The saturation magnetization for SPIONs-DOX-PEG was equal to ca. 1.12 emu/g (calculated per total solid mass). This value of magnetization corresponds to the low mass fraction of iron oxide compared to that of the organic component (polymer and drug). If we recalculate the saturation magnetization according to the iron mass fraction (AAS data described

below), the samples have a magnetization close to 97 emu/(g of iron). This value correlates well with magnetic data reported for nonmodified and PEGylated SPIONs.¹⁰ In addition, one can observe the magnetic sensitivity of PEGylated and drug-loaded SPIONs at the microscopic level: the samples move toward a rare earth (neodymium iron boron) magnet of 1.1 T used in our laboratory for magnetic separation.

Chemical Composition of SPIONs-DOX-PEG. Freeze-dried samples of SPIONs-DOX-PEG contained ca. 1.15% (w/w) of iron as determined by AAS. Since the concentration of iron in the sample is lower than the typical sensitivity threshold in IR spectroscopy ($\sim 5\%$ (w/w)), the iron oxide bands were no more detectable in the FTIR spectra of modified nanoparticles (Figure 1B). These IR spectra showed the major bands characteristic of PEG at 1113 (C–O), 1242 (C–N), and 2888 (CH) cm^{-1} , as well as a band of OH at 3400 cm^{-1} .

In complement to FTIR that provides the global chemical composition of the NPs, we used XPS to study the chemical composition/bonding on the surface of SPIONs-DOX-PEG. The XPS technique is recognized as a potent tool providing quantitative surface elemental analysis and chemical state information. The typical XPS spectrum of the SPIONs-DOX-PEG surface showed mostly carbon, oxygen, and small amounts of nitrogen and silicon (Figure 3). Levels C 1s, O 1s, Si 2p, and N 1s were deconvoluted into several sets of mixed Gaussian–Lorentzian functions. Si 2p and N 1s photoemission spectra are shown in the Figure 3 inset. Peak fitting of Si 2p and N 1s gave respectively the following binding energies: 103.9 ± 0.2 and 399.9 ± 0.2 eV. These energies correspond to the chemical bonds Si–O₃ and N–C. Taking into account the depth

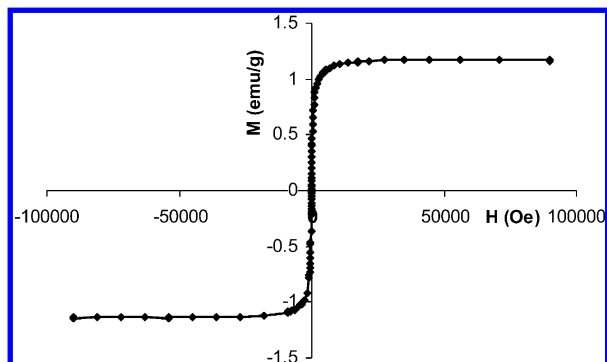


Figure 2. Plot of magnetization (M) versus magnetic field (H) at room temperature for SPIONs modified with PEG and DOX.

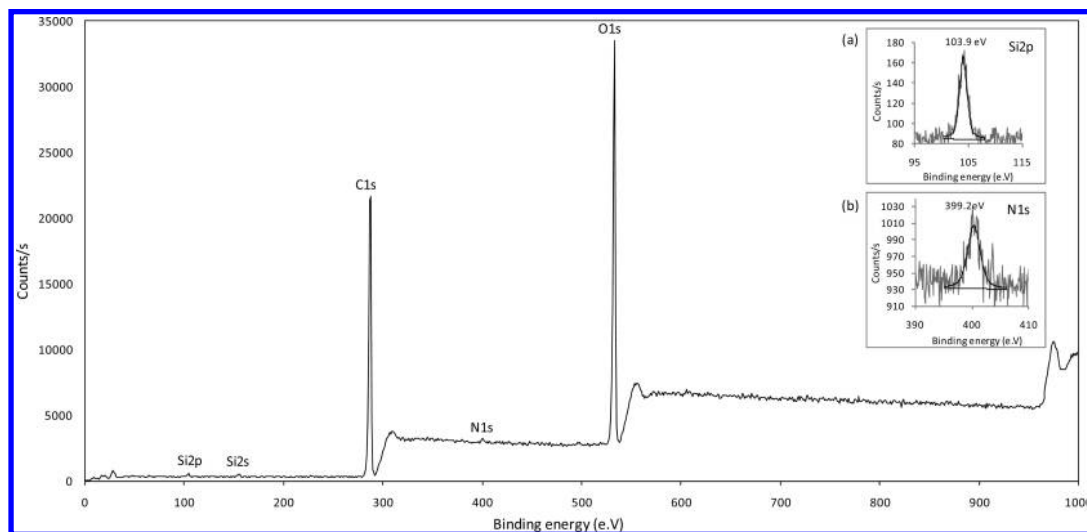


Figure 3. XPS survey spectra of the SPIONs-DOX-PEG sample. Insets: (a) Si 2p XPS spectrum; (b) N 1s XPS spectrum.

TABLE 1: XPS Experimental Data on Atomic Composition within Dried SPIONs-DOX-PEG Samples

	C 1s	O 1s	Si 2p	N 1s
atomic concentration from XPS, %	64	35	0.5	0.3
atomic concentration normalized to N	213	117	1.7	1

of analysis estimated according to Cumpson³⁰ at 8 nm, iron could not be quantified. Table 1 provides atomic concentrations (at. %) estimated from the areas of the C 1s, O 1s, Si 2p, and N 1s photoelectron lines. In the second line of Table 1, atomic concentrations relative to nitrogen are given. In theory, all nitrogen detected originates from either PEG or DOX bound through the silane to the surface. The Si/N and C/N ratios are, respectively, representative of the stoichiometry of the epoxide–amine reaction or the molecule bound (Scheme 1). According to the chemical structure, for the DOX silane alone a C/N ratio of 33 is expected; for the PEG silane alone, the value should be close to 232 (PEG MW 5000).

The similarity of the quantitative results and the nature of the chemical bonds observed on Si 2p and N 1s levels by XPS confirm the presence of a layer of DOX-PEG surrounding the SPIONs. The fact that the percentage of silicon is higher than that of nitrogen seems to suggest that not all epoxide has reacted. However, the difference may not be significant given the experimental uncertainty. The experimental C/N ratio of ca. 213 obtained from XPS analysis of SPIONs-DOX-PEG nanoparticles confirms that the polymer constitutes the major fraction in the superficial layers. The difference between 213 and 232 should be due to the presence of DOX, but the experimental error of about 10% does not permit one to quantify the drug. The presence of DOX on these particles can be more easily demonstrated by the fluorescence measurements described below.

The strong fluorescence signal of DOX we recorded for SPIONs-DOX-PEG suspensions confirmed that the drug content was significant and that the attachment through the amine group of the sugar preserves the fluorescence activity of the chromophore from being quenched. Figure 4 shows typical DOX fluorescence spectra of PEG- and DOX-modified SPIONs suspended in PBS buffer, pH 7.4. Although recognizable, the fluorescence of the drug linked to the surface of PEGylated SPIONs exhibits a modified spectral shape in comparison to the fluorescence of free DOX in PBS buffer, pH 7.4: the main maximum is shifted from 596 to 601 nm, and the intensity ratio

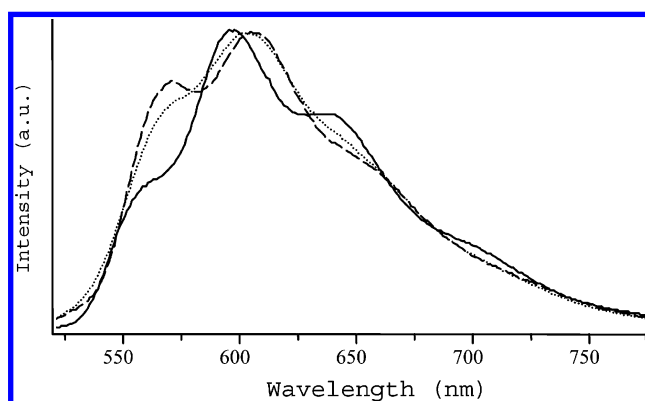


Figure 4. Fluorescence spectra: free DOX in PBS pH 7.4 (solid line); suspension of SPIONs-DOX-PEG in PBS pH 7.4 (dotted line); suspension of SPIONs-DOX-PEG in 80/20 DMSO/water mixture (dashed line).

of the shoulders at ca. 570/635 nm is increased. This spectral change should correspond to the fact that the drug molecule is immobilized on the SPIONs and buried within a polymeric layer. When SPIONs-DOX-PEG were transferred into a mixture of 80% DMSO and 20% water (conditions corresponding to a general solvent effect), this effect is still reinforced. This shows that (i) the environment of DOX in the PEGylated layer has a relatively low polarity and (ii) the PEG coating is permeable to solvent molecules. The latter conclusion is optimistic with respect to the use of PEGylated SPIONs with pH-dependent DOX-SPIONs binding that we proposed recently.²⁰

Given the fact that changes in spectral shape are generally accompanied by modified fluorescence quantum yields, it was difficult to use the fluorescence intensity to estimate the DOX concentration within a sample of SPIONs-DOX-PEG. The amount of the drug attached to the surface of nanoparticles was estimated by means of UV–visible spectrophotometry from the mass balance between initially added DOX and the sum of recovered DOX in dialyzates collected for 2 days (see Experimental Procedures). The dialyzates contained less than 1% of the initially incubated drug. Even if we were to allow for up to 10% of the drug lost due to adsorption on glassware, this indicates an extremely high efficiency of drug loading and a high stability of the drug linkage in aqueous suspension.

Biological Evaluation of the Obtained Nanoparticles. DOX and Particle Distribution in Living Cells. The fluorescence signal from SPIONs-DOX-PEG allowed us to follow the

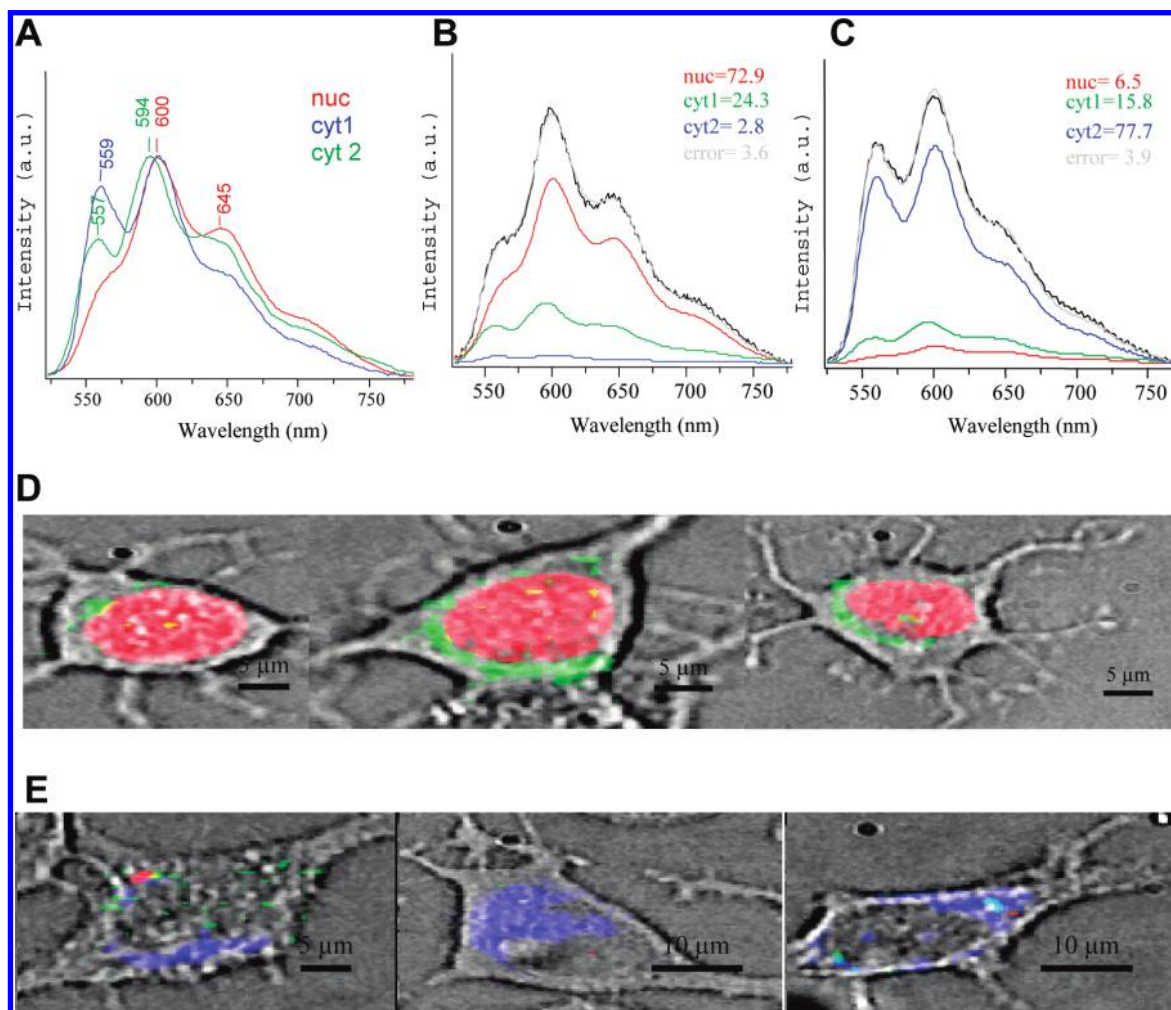


Figure 5. (A) Reference spectra used to fit the intracellular DOX fluorescence corresponding to the drug located in the nucleus (red curve denoted nuc), in two cytosolic environments, respectively, of lower polarity (blue curve denoted cyt1) and of higher polarity (green curve denoted cyt2). Cell-averaged fluorescence from MCF-7 cancer cells incubated with (B) DOX solution or (C) SPIONs-DOX-PEG. Spectral fluorescence maps for MCF-7 cells treated with (D) DOX solution or (E) SPIONs-DOX-PEG.

subcellular distribution of particles by means of confocal spectral imaging (Figure 5). The very important advantage of this method is that the full spectral information is recorded in a space-resolved manner from each point of the scanned cell.

As expected for PEGylated nanoparticles, SPIONs-DOX-PEG were only slowly internalized by the cells, and we used 60 times higher drug concentrations and at least 3 h of incubation to detect intracellular fluorescence intensities comparable to those with DOX solution. In 24 h, a moderate increase of the uptake was observed (less than 2-fold, data not shown). To stimulate the uptake by cancer cells, the neutral polymer surface has to be further functionalized with biochemical ligands (folates, antibodies).³¹ This will be the subject of future studies.

Figure 5A shows three characteristic fluorescence spectra we found in different locations of treated cells: one nuclear and two cytosolic ones (denoted as “nuc” and “cyt1” and “cyt2”, respectively). These curves were considered as reference spectra. The experimental spectra were fitted with a proportional sum of the three reference spectra taken with contribution coefficients (fitting scores). In this manner, we fitted the spectra averaged over cellular maps, to analyze the relative cellular fraction of the characteristic staining (Figure 5B,C). On the other hand, the fitting scores at each point served us for generating the subcellular drug distribution maps (Figure 5D,E).

The nuclear reference spectrum has a characteristic red shift due to π - π interaction of DOX intercalated between DNA

bases.²⁶ As expected for the drug with the preferential nuclear site of action (inhibition of nuclear enzyme topoisomerase II), the cells treated with DOX solution had very intense nuclear fluorescence. It contributed to at least 70–90% of the overall cellular signal (Figure 5B) and covered all of the nucleus (Figure 5D). In contrast, in the cells treated with SPIONs-DOX-PEG suspension, only weak fluorescence (5–15% of the overall signal, Figure 5C) was found in some nuclear points (Figure 5E). In these cells, the dominating staining (70–85% of the cellular signal) was cytosolic (Figure 5E) and corresponded to a different spectrum (blue curve denoted cyt1 in Figure 5A,C). This spectrum should correspond to a low-polarity cytosolic environment, because it shows strong changes in shape, similar to those described above for NP in aqueous–organic solvent (DMSO). Interestingly, both types of cell treatment gave rise to another kind of cytosolic fluorescence (green curve named cyt2 in Figure 5C and green zones on the maps of Figure 5A,B) corresponding to an overall intracellular fraction of 15–25%. According to the spectral shape, this fluorescence may indicate different polarity/interaction than the cyt1 spectrum described above. The fact that, instead of entering the nucleus, the particle-carried drug accumulated in the cytosol (Figure 5E compared to Figure 5D) indicates that the majority of DOX molecules remained bound to SPIONs. Thus, the cyt1 spectrum should rather correspond to internalized SPIONs-DOX-PEG. This is

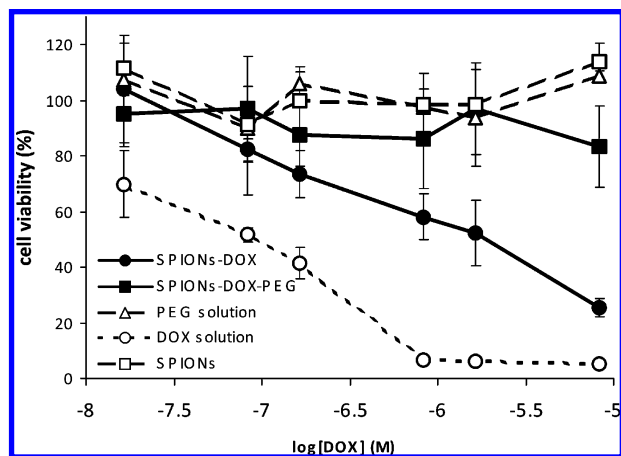


Figure 6. Cytotoxicity evaluation of DOX solution, PEG solution, and suspensions of bare SPIONs (denoted as SPIONs) and of SPIONs functionalized either with DOX (denoted as SPIONs-DOX) or with DOX and PEG (denoted as SPIONs-DOX-PEG).

confirmed by the negligible presence of the cyt1 model for cells treated with DOX solution (contribution within fitting errors, Figure 5B).

These data indicate that (i) the SPIONs-DOX-PEG are moderately internalized by the cells; (ii) the internalized SPIONs-DOX-PEG appear preferentially accumulated in membrane-rich cytosolic compartments, possibly endosomes; and (iii) a minor fraction (less than 30%) of DOX is released (and enters the nucleus) with a significant time delay.

To summarize, the chemistry proposed in this work ensures a stable labeling of the magnetic particles with fluorescent molecules carrying amine groups outside of the fluorochrome and therefore could be useful to study the particle distribution in cells, blood, and tissues. The main drawback of a stable bond between DOX and the nanoparticles is that the immobilized DOX may not be able to interact according to its main mechanism as a drug (inhibition of the nuclear enzyme topoisomerase).²⁶ If the active molecule is prevented from entering the nucleus, the antitumor effect might be reduced or delayed. This question will be addressed in the following.

Evaluation of Cytotoxicity of the Obtained Nanoparticles.

It needs to be pointed out that, in the experimental conditions used here, neither PEG solution nor drug-free nanoparticles, i.e., uncoated (citrate-stabilized) SPIONs or PEG-coated SPIONs provide any detectable cytotoxicity on MCF-7 cells at the working concentrations (Figure 6).

We compared the antiproliferation activity of SPIONs-DOX-PEG suspensions with DOX solutions (taken at the same drug concentrations) on MCF-7 cancer cells. Figure 6 shows the related cytotoxicity profiles, i.e., the cell viability after a 96 h (three cell cycles) treatment versus drug concentration. Compared to DOX solution, no significant cytotoxicity can be seen for SPIONs-DOX-PEG (Figure 6). First of all, the lack of activity can be due to the relatively low uptake of nanoparticles with neutral PEG surface. To check this hypothesis, we plan to evaluate the anticancer activity of SPIONs-DOX-PEG derivated with folates, which should increase particle uptake by cancer cells.³¹

In the present study, we evaluated the role of PEG in terms of a drug-protecting layer, by using SPIONs-DOX particles where only DOX is covalently bound to SPIONs, without bonded PEG (an equivalent concentration of free PEG is added to the solution for better suspension stability). For the cells treated with SPIONs-DOX, the uptake was not significantly

increased compared to SPIONs-DOX-PEG, but a considerable cytotoxicity was found (Figure 6). The LC50 values (lethal concentration for 50% of cells) over 96 h were determined to be 80 nM and 3.8 μ M with DOX and SPIONs-DOX, respectively. It was recently shown⁹ that, under certain conditions (namely, significantly higher iron concentrations and cell densities than those used here), uncoated iron oxide nanoparticles were able to impoverish the culture medium and lead to cell toxicity due to a lack of nutrients, especially proteins. Since no significant cytotoxicity was observed with our uncoated SPIONs, we conclude that the cytotoxicity we observed with SPIONs-DOX could be mainly attributed to the drug.

This activity proves that, in the absence of the PEG protective layer, the bonds between DOX and the SPIONs surface can be cleaved by the cells and that at least a part of the DOX released from SPIONs remains active. These results demonstrate that the chemical linkage we propose to bind DOX to the surface of SPIONs is stable in solution but is biodegradable within cancer cells.

Conclusion

We developed the one-pot synthesis of colloids of SPIONs modified with both PEG polymer and DOX anticancer drug, as multifunctional systems for anticancer drug delivery and cancer imaging. In contrast to most reports that include more time- and material-consuming preparation procedures, the one-pot synthesis described here is advantageous, in particular in view of a potential production on an industrial scale. Due to the PEG coating, the particles form stable colloidal solutions at physiological pH values. The drug bound to SPIONs via the sugar moiety preserves both the fluorescence and anticancer activity. Nevertheless, the PEG shell seems to reduce the access of cellular enzymes to the drug-particle linkage and thus limits and/or delays the anticancer effect. Although predictable, this result has a fundamental importance for future nanocarrier development, because it is the experimental demonstration of the fact that both chemical and steric considerations are crucial for efficient drug release from functionalized nanoparticles.

In conclusion, the approach proposed here is useful to efficiently bind polymer and fluorescence label to the SPIONs surface. For fluorescence labeling, the two main advantages are (i) the fluorophore is buried in a low-polarity environment under the polymeric layer and is protected from cleavage and (ii) the fluorophore does not interfere with the biocompatibility of the polymer surface. At the same time, the polymer surface remains accessible for further functionalization with drug and biological ligands,³¹ favoring the particle binding to membranes of cancer cells. The obtained results show that the very stable and protected linkage of the drug directly to the iron oxide surface appears not favorable for efficient drug release. Future studies will explore the anticancer activity of PEGylated SPIONs with the drug bound to the polymer, closer to the outer NP shell, or with pH-dependent reversible DOX-SPIONs binding, for instance according to the protocol developed in our laboratory.²⁰

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